

Table 1: State Ethics Agencies: Jurisdictional Information Concerning Municipal Ethics

| State Agency | Jurisdiction | | | | Jurisdiction Over | | |
|--|----------------------|----------------------|------------------|----------|---------------------------|-------------------------|-----------------|
| | Conflict of Interest | Financial Disclosure | Gift Restriction | Lobbying | Local Appointed Officials | Local Elected Officials | Local Employees |
| Alabama Ethics Commission | X | X | X | X | X | X | X |
| Arizona Citizens Clean Elections Commission | | X | | | | X | |
| Arkansas Ethics Commission | X | X | X | X | X | X | X |
| California Fair Political Practices Commission | X | X | X | X | X | X | X |
| Delaware Public Integrity Commission | X | X | X | X | X | X | X |
| Florida Commission on Ethics | X | X | X | X | X | X | X |
| Georgia State Ethics Commission | | X | X | X | | X | |
| Kansas Governmental Ethics Commission | X | X | X | X | | X | |
| Louisiana Ethics Administration | X | X | X | X | X | X | X |
| Maine Commission on Governmental Ethics & Election Practices | | | X | X | X | | |
| Massachusetts Ethics Commission | X | X | X | | X | X | X |
| Michigan State Board of Ethics | X | | X | | | X | |
| Minnesota Campaign Finance and Public Disclosure Board | X | X | X | X | X | X | X |
| Missouri Ethics Commission | X | X | X | X | X | X | X |
| Montana Commissioner of Political Practices | | X | | X | | X | |
| Nebraska Accountability & Disclosure Commission | X | X | X | X | X | X | |
| Nevada Commission on Ethics | X | X | X | | X | X | X |
| New Hampshire Attorney General's Office | X | X | X | X | | X | |
| Ohio Ethics Commission | X | X | X | X | X | X | X |
| Oklahoma Ethics Commission | X | X | X | X | X | X | X |
| Oregon Government Standards and Practices Commission | X | X | X | X | X | X | X |
| Pennsylvania Ethics Commission | X | X | | X | X | X | X |
| Rhode Island Ethics Commission | X | X | X | | X | X | X |
| Texas Ethics Commission | X | X | X | X | X | X | X |

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| Utah Office of the Lieutenant Governor | | X | X | X | | X | |
| Washington State Public Disclosure Commission | | X | | X | | X | X |
| West Virginia Ethics Commission | X | X | X | X | X | X | X |
| TOTAL: 27 | 21 | 25 | 24 | 23 | 19 | 26 | 18 |

SOURCE: COGEL Blue Book 2007 Ethics Update

Table 2: Sample Approaches to Municipal Ethics Administration and Enforcement

| Agency | Approach | Who Receives Complaints? | Who Hears Complaints? | Where are Hearings Held? | Penalties | Issue Advisory Opinions | Provide Ethics Training? | Other |
|---|---|--|---|---|---|---|--|---|
| Arkansas Ethics Commission | State ethics covers local officials and employees | Commission | Commission | Commission (Little Rock) | State General Revenue Fund | Yes | Yes | About 100 complaints on average (more during election years). |
| Delaware Public Integrity Commission | Application of strictest code | Commission receives complaints for local governments that have not adopted their own code. | Commission, unless local government has adopted its own code. | Commission, unless local government has adopted its own code. | Commission imposes disciplinary actions, not civil penalties, or, refers criminal violations to the appropriate federal or state authority. | Yes, to local officials under its jurisdiction. | Yes, to local governments under its jurisdiction not to those with their own code. | Of the state's 57 local governments, 7 (including New Castle County) have adopted their own ethics code. A local government must receive approval from the commission to amend its ethics code. |
| <ul style="list-style-type: none"> New Castle County Ethics Commission | (Local governments that adopt their own ethics code operate independent of the commission.) | Local governments that have adopted their own code operate independently | | | | | | The commission receives roughly 12 to 25 complaints each year. During |

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|---|---|-----------------------------------|-----------------------|---|-----------------------------|-------------------------|--|---|
| | | and receive their own complaints. | | | | | | the last few years, the percentage of local complaints has increased. The majority of local complaints concern land use issues. |
| Louisiana Board of Ethics | State ethics covers local officials and employees | Board | Board | Board in Baton Rouge | State General Fund | Yes | Yes, voluntary training. Beginning 2010 and 2012 training is mandatory for elected officials and all public servants, respectively | N/A |
| Nebraska Accountability and Disclosure Commission | State ethics law partially includes local officials, and employees with some exceptions (e.g., nepotism) and slight variations for Omaha and Lincoln. | Commission | Commission | Authorized to hold hearings anywhere but generally hold them in Lincoln before an administrative law judge. Occasionally, they conduct hearings remotely. | State Campaign Finance Fund | Yes | Attend organizational meetings (e.g. Nebraska League of Municipalities or those for city, town, or county attorneys) to make informational presentations | The commission conducts about 50 ethics, lobbying, and campaign finance investigations a year. The majority of the ethics investigations are local. |
| Nevada Commission on Ethics | State ethics code covers local officials and employees | Commission | Commission | Commission in Carson City and video conferenced to Las Vegas | State General Fund | Yes | N/A | Approximately 50 complaints per year |
| Ohio Ethics Commission | State ethics law includes | Commission | Commission | Commission in Columbus | General Fund | Yes | Yes | About 85% of the complaints are local. |

| Agency | Approach | Who Receives Complaints? | Who Hears Complaints? | Where are Hearings Held? | Penalties | Issue Advisory Opinions | Provide Ethics Training? | Other |
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| | local officials | | | | | | | In 2007, the Commission received 500 ethics complaints and conducted 131 investigations. |
| Oregon Government Ethics Commission | State ethics covers local officials and employees | Commission | Commission | Commission in Salem | State General Fund | Yes | Yes | About 150 complaints heard per year |
| Pennsylvania Ethics Commission | State ethics code covers municipal officials and employees. Municipalities may enact supplemental codes but they may not be less restrictive than the state law. Municipalities that adopt local codes enforce them. The state commission enforces the code even as to local officials. | Commission | Commission | Commission in Harrisburg unless the respondent requests Philadelphia or Pittsburgh | Commission may order penalties paid to the state or the municipality involved | Yes | Yes | 400 complaints per year |
| Rhode Island Ethics Commission | State ethics code covers municipal officials and employees. | Commission | Commission | Commission in Providence | State General Treasury | Yes | Yes | Roughly 40-50 complaints per year |

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|---------------------------------------|--|--------------------------|-----------------------|--------------------------|---------------------------------------|---|--|--|
| | Some municipalities have their own codes, but state code is supreme. | | | | | | | |
| City of Los Angeles Ethics Commission | Independent commission. Municipal Ethics Code (State approach is application of the strictest code.) | Commission | Commission | Commission | Yes (for violations of the city code) | Local officials are subject to advisory opinions that the state issues with respect to state law and those that the city issues concerning local law. | State law requires ethics training; thus, the municipal ethics code provides for it. | City commission has the authority to investigate alleged violations of state law. It sends findings to the state commission to assess penalties. |